

# Conquering Compliance

## F883: Influenza and Pneumococcal Immunizations

### Overview

The objective of this resource is to provide guidance related to F883 influenza and pneumococcal immunizations for skilled nursing facilities.

### Intent of Regulations

#### F883: Influenza and Pneumococcal Immunizations

Minimize the risk of residents acquiring, transmitting or experiencing complications from influenza and pneumococcal disease by ensuring each resident:

- Is informed about the benefits and risks of immunizations.
- Has the opportunity to receive the influenza and pneumococcal vaccine(s), unless medically contraindicated, refused or was already immunized.
- Ensure documentation in the resident's medical record of the information/education provided regarding the benefits and risks of immunization and the administration or the refusal of or medical contraindications to the vaccine(s).

The intent of the regulation and surveyor guidance can be found in the Centers for Medicare & Medicaid Services (CMS) [State Operations Manual \(SOM\) Appendix PP - Guidance to Surveyors for Long Term Care Facilities](#), F883: §483.80(d) Influenza and pneumococcal immunizations, pages 806 – 813.

## What To Expect at a Survey

Requirements	Resource Material
<p><b>Within one hour of entrance, you will need to provide:</b></p> <ul style="list-style-type: none"> <li>• Name of the facility's infection preventionist (IP). Documentation of the IP's primary professional training and evidence of completion of specialized training in infection prevention and control.</li> </ul>	<ul style="list-style-type: none"> <li>• <a href="#">Entrance Conference Form</a>, CMS</li> <li>• <a href="#">ACIP Vaccine Recommendations and Guidelines</a>, CDC</li> <li>• <a href="#">Pneumococcal Vaccine Timing for Adults</a>, CDC</li> <li>• <a href="#">Immunization Schedules for Health Care Providers</a>, CDC</li> <li>• <a href="#">CMS-20054 Infection Prevention, Control and Immunization Critical Element Pathway</a>, CMS</li> </ul>
<p><b>Within four hours of entrance, you will need to provide:</b></p> <ul style="list-style-type: none"> <li>• Infection Prevention and Control Program Standards, Policies and Procedures, including:               <ul style="list-style-type: none"> <li>○ The surveillance plan</li> <li>○ Antibiotic Stewardship program</li> <li>○ Influenza and Pneumococcal Immunization Policy and Procedures</li> </ul> </li> </ul>	
<p><b>Five resident record reviews will be conducted for documentation of (influenza and pneumococcal)</b></p> <ul style="list-style-type: none"> <li>• Facilities must follow the Centers for Disease Control and Prevention (CDC) and Advisory Committee on Immunization Practices (ACIP) recommendations for vaccines.</li> </ul>	
<p><b>An interview will be conducted with facility administration and IP(s).</b></p>	

## Avoiding the Tag

Requirements	Resource Material
<p><b>Provide education and document.</b></p> <ul style="list-style-type: none"> <li>• Benefits, side effects, risks of immunization.</li> </ul>	<ul style="list-style-type: none"> <li>• <a href="#">Vaccine Information Statements</a>, Immunize.org</li> </ul>
<p><b>Offer vaccinations.</b></p> <ul style="list-style-type: none"> <li>• Influenza               <ul style="list-style-type: none"> <li>• Between October 1 and March 31 annually.</li> </ul> </li> <li>• Pneumococcal               <ul style="list-style-type: none"> <li>• Have a protocol in place for PPSV23, PCV13, PCV20.</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• <a href="#">Immunization Portal</a> for state specific vaccine information statement (VIS), Michigan Department of Health and Human Services (MDHH)</li> <li>• CMS <a href="#">SOM - Appendix PP</a>, F883: §483.80(d) Influenza and pneumococcal immunizations (pages 806 – 813)</li> </ul>
<p><b>Documentation</b></p> <ul style="list-style-type: none"> <li>• Resident either:               <ul style="list-style-type: none"> <li>• Received the immunization and it is indicated in their medical record.</li> <li>• Did not receive the immunization based on contraindication.</li> <li>• Resident representative refused.</li> <li>• Resident had already been immunized prior to arriving at your facility or while offsite.</li> <li>• Decision has been made to delay vaccination.</li> <li>• Resident is end-stage and has refused.</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• <a href="#">Summary of CMS Vaccine Regulations</a>, American Healthcare Association (AHCA)</li> </ul>
<p><b>Policies - Annual Review</b></p> <ul style="list-style-type: none"> <li>• Vaccine orders.</li> <li>• Resident medical record review for immunization status and for possible medical contraindications.</li> <li>• How education and information will be provided to residents/family members.</li> <li>• Vaccination schedule.</li> </ul>	

## Sustainability and Compliance

Recommendations	Resource Materials
<p>Audit documentation on a set schedule to ensure appropriate documentation was completed before administering influenza and pneumococcal immunizations including: (Use your electronic health record [EHR] reporting options for auditing)</p> <ul style="list-style-type: none"> <li>• Proof that immunization was offered per requirements</li> <li>• Documentation of immunization prior to admission</li> <li>• Screening for contraindication to receive immunization</li> <li>• Education regarding risks and benefits of immunization</li> <li>• Signed consent</li> <li>• Refusal, including reason for refusal</li> <li>• Physician's order for immunization</li> </ul>	<ul style="list-style-type: none"> <li>• <a href="#">Immunization Process QAPI Audit Tool</a>, Superior Health</li> <li>• <a href="#">CMS-20054 Infection Prevention, Control &amp; Immunization Critical Element Pathway</a>, CMS</li> <li>• <a href="#">Quality Measure Tip Sheet: Influenza Vaccine – Long and Short Stay</a>, Superior Health</li> <li>• <a href="#">Quality Measure Tip Sheet: Pneumococcal Vaccine – Long and Short Stay</a>, Superior Health</li> <li>• <a href="#">Principals for Delegation</a>, American Nurses Association (ANA)</li> </ul>
<p>Consider delegating auditing tasks.</p>	
<p>Results from audit(s) and plans of correction reviewed at Quality Assurance and Performance Improvement (QAPI) meetings.</p>	
<p>Review Quality Measures related to immunizations at least quarterly.</p>	
<p>Stay up to date with regulatory changes.</p>	
<p>Develop a system to ensure policies are updated when there is a change in processes and review policies at least annually.</p>	

## Additional Resources and Tools for Success

- [Epidemiology and Prevention of Vaccine-Preventable Diseases](#) (otherwise known as “The Pink Book”), CDC
- [Minimum Data Set 3.0 Resident Assessment Instrument User’s Manual v1.18.11](#) (pages 514-522), CMS
- [Influenza and Pneumococcal Toolkit](#), Superior Health
- [Infection Control Component Checklist – Requirements for Long-Term Care Facilities](#), Superior Health
- [Vaccine Protocols](#), MDH
- [Infection Control Assessment and Response \(ICAR\) Tool for General Infection Prevention and Control \(IPC\) Across Settings](#), CDC
- [Infection Preventionist Starter Kit](#), Wisconsin Department of Health Services (DHS)
- [Resource Toolkit: Novice Infection Preventionists in Long-Term Care](#), Idaho Department of Health and Welfare